

1774

#3
BY

3-9-03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Xiaoru Wang, et al

POLYMER-DYE PARTICLES
AND PROCESS FOR MAKING
POLYMER DYE PARTICLES

Serial No. US 10/020,694

Filed 14 December 2001

Commissioner for Patents
Washington, D.C. 20231

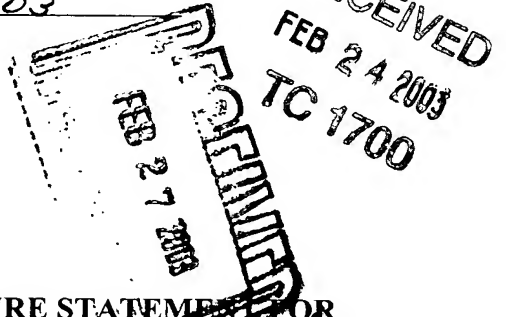
Group Art Unit: 1774

Examiner: Unknown

I hereby certify that this correspondence is being deposited
today with the United States Postal Service as first class mail
in an envelope addressed to Commissioner for Patents,
Washington, D.C. 20231.

Mary Capperell
Mary Capperell

2/13/03
Date



Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT FOR
CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration
by the Patent and Trademark Office in regard to the invention claimed in the
above-described application. In compliance with §1.56, such documents are listed
in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of
record the above-identified documents. Unless otherwise indicated, a full text
copy of each document is attached. For documents not in English, an English
translation or an equivalent English language patent or publication may be
attached. Where a translation is not available, a concise explanation of the
relevance of each document not in English is included either here or in the
specification.

This Information Disclosure Statement (hereinafter "Statement") is
submitted according to the following selected paragraph:

- I. ☐ This Statement is being filed under §1.97(b) within three months of
the filing date of the application (other than a CPA), or before the
mailing of a first Office action on the merits or before the mailing of a
first Office action after the filing of a request for continued
examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the
mailing date of any of a final action, a notice of allowance or an action
that otherwise closes prosecution in the application. Please charge the
fee required by §1.17(p) to Eastman Kodak Company Deposit Order
Account Number 05-0225. A duplicate copy of this Certification is
enclosed.

III. ☒ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☒ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



Attorney for Applicants
Registration No. 33,447

Sarah Meeks Roberts/mec
Telephone: (585) 588-7488
Facsimile: (585) 477-1148
Enclosures

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. 83066SMR		Serial No. US 10/020,694	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 1.2(E) Certificate or Fee LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				Applicant: Xiaoru Wang, et al			
				Filing Date 14 December 2001		Group 1774	
U.S. PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,507,378	3/26/85	Wada et al				
	5,965,634	10/12/99	Idogawa et al				
FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							